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Date: October 29, 2004  
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Fax: (703) 872-9306  
Phone:  
From: R. Burns Israelsen  
Our File: 15436.250.28.1

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Applicant(s): Shah, et al.

Docket No.

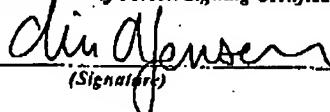
15436.250.28.1

Application No.  
10/697,733Filing Date  
10/30/2003Examiner  
UnknownGroup Art Unit  
2873

Invention: COUNTERBORE BASE MEMBER FOR FERRULE-TYPE OPTICAL CONNECTOR

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(Under 37 CFR 1.97(b) or 1.97(c))**Docket No.  
15436.250.28.1

In Re Application Of: Shah, et al.

Application No.	Filing Date	Examiner	Customer No.	Group Art Unit	Confirmation No.
10/697,733	10/30/2003	Unknown	022913	2873	7719

Title: COUNTERBORE BASE MEMBER FOR FERRULE-TYPE OPTICAL CONNECTOR

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**37 CFR 1.97(b)**

1.  The Information Disclosure Statement submitted herewith is being filed within three months of the filing of a national application other than a continued prosecution application under 37 CFR 1.53(d); within three months of the date of entry of the national stage as set forth in 37 CFR 1.491 in an international application; before the mailing of a first Office Action on the merits, or before the mailing of a first Office Action after the filing of a request for continued examination under 37 CFR 1.114.

**37 CFR 1.97(c)**

2.  The Information Disclosure Statement submitted herewith is being filed after the period specified in 37 CFR 1.97(b), provided that the Information Disclosure Statement is filed before the mailing date of a Final Action under 37 CFR 1.113, a Notice of Allowance under 37 CFR 1.311, or an Action that otherwise closes prosecution in the application, and is accompanied by one of:
  - the statement specified in 37 CFR 1.97(e);

OR

- the fee set forth in 37 CFR 1.17(p).

**TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT  
(Under 37 CFR 1.97(b) or 1.97(c))**

 Docket No.  
15436.250.28.1

In Re Application: Shah, et al.

Application No.	Filing Date	Examiner	Customer No.	Group Art Unit	Confirmation No.
10/697,733	10/30/2003	Unknown	022913	2873	7719

Title: COUNTERBORE BASE MEMBER FOR FERRULE-TYPE OPTICAL CONNECTOR

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R. BURNS ISRAELSEN

Attorney for Applicant

Registration No. 42,685

Customer No. 022913

Dated: October 27, 2004.

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PATENT APPLICATION  
Docket No: 15436.250.28.1

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of )  
Shah, et al. )  
Serial No.: 10/697,733 ) Art Unit  
Confirmation No.: 7719 ) 2873  
Filed: October 30, 2003 )  
For: COUNTERBORE BASE MEMBER FOR )  
FERRULE-TYPE OPTICAL CONNECTOR )  
Examiner: Unknown )

INFORMATION DISCLOSURE STATEMENT  
UNDER 37 C.F.R. § 1.97

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Sir:

Please find, pursuant to 37 C.F.R. § 1.98(a)(1), the enclosed Form PTO-1449 which contains a list of all patents, publications, or other items that have come to the attention of one or more of the individuals designated in 37 C.F.R. § 1.56(c). While no representation is made that any of these references may be "prior art" within the meaning of that term under 35 U.S.C. §§ 102 or 103, the enclosed list of references is disclosed so as to fully comply with the duty of disclosure set forth in 37 C.F.R. § 1.56.

Moreover, while no representation is made that a specific search of office files or patent office records has been conducted or that no better art exists, the undersigned attorney of record

believes that the enclosed art is the closest to the claimed invention (taken in its entirety) of which the undersigned is presently aware, and no art which is closer to the claimed invention (taken in its entirety) has been knowingly withheld.

In accordance with 37 C.F.R. §§ 1.97 and 1.98, a copy of each of the listed references or relevant portion thereof is also enclosed.

In accordance with 37 C.F.R. § 1.98(c), all English translations within the possession, custody, control or availability of anyone designated in 37 C.F.R. § 1.56(c) of each non-English reference, if any, are also enclosed.

Please credit any over payment or charge any additional fees to Deposit Account No. 3-3178 of the undersigned.

DATED October 27, 2004.

Respectfully submitted,



R. BURNS ISRAELSEN  
Attorney for Applicant  
Registration No. 42,685  
Customer No. 022913

RBI: caj  
CAJ0000000715V001

Form PTO-1449

Sheet 1 of 2

Applicant: Shah, et al.

Serial No.: 10/697,733

Att'y Docket No.: 15436.250.28.1

Filing Date: October 30, 2003

Group: 2873

For:

COUNTERBORE BASE MEMBER FOR  
FERRULE-TYPE OPTICAL CONNECTORINFORMATION DISCLOSURE CITATIONS MADE BY APPLICANTU.S. Patent Documents

<u>Examiner Initial*</u>	<u>Document Number</u>	<u>Issue Date</u>	<u>Name</u>
____ 1	6,357,929	03/19/2002	Rochrs, et al.
____ 2	6,485,189	11/26/2002	Gilliland, et al.
____ 3	6,714,366	03/30/2004	Wisccarver, et al.

Foreign Patent Documents

<u>Examiner Initial*</u>	<u>Document Number</u>	<u>Publication Date</u>	<u>Country or Patent Office</u>
NONE			

Other Documents  
(including author, title, pertinent pages, etc.)Examiner  
Initial\*

NONE

Examiner: \_\_\_\_\_ Date Considered: \_\_\_\_\_

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## Form PTO-1449

Sheet 2 of 2

Applicant: Shah, et al.  
Serial No.: 10/697,733  
Filing Date: October 30, 2003  
For:

Att'y Docket No.: 15436.250.28.1  
Group: 2873

COUNTERBORE BASE MEMBER FOR  
FERRULE-TYPE OPTICAL CONNECTOR

References Cited by Applicants

While the filing of Information Disclosure Statements is voluntary, the procedure is governed by the guidelines of Section 609 of the Manual of Patent Examining Procedure and 37 C.F.R. §§ 1.97 and 1.98. To be considered a proper Information Disclosure Statement, Form PTO-1449 shall be accompanied by a copy of each listed patent or publication or other item of information and a translation of the pertinent portions of foreign documents (if an existing translation is readily available to the applicant), an explanation of relevance of each reference not in the English language, and should be submitted in a timely manner as set out in MPEP Sec. 609.

Examiners will consider all citations submitted in conformance with 37 C.F.R. § 1.98 and MPEP Sec. 609 and place their initials adjacent the citations in the spaces provided on this form. Examiners will also initial citations not in conformance with the guidelines which may have been considered. A reference may be considered by the Examiner for any reason whether or not the citation is in full conformance with the guidelines. A line will be drawn through a citation if it is not in conformance with the guidelines AND has not been considered. A copy of the submitted form, as reviewed by the Examiner, will be returned to the applicant with the next communication. The original of the form will be entered into the application file.

Each citation initiated by the Examiner will be printed on the issued patent in the same manner as references cited by the Examiner on Form PTO-892.

The reference designations "A1," "A2," etc. (referring to Applicant's reference 1, Applicant's reference 2, etc.) will be used by the Examiner in the same manner as Examiner's reference designations "A," "B," "C," etc. on Office Action Form PTO-1142.

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